

PTO/SB/C1 (10-01)

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number PRINC-048A

First Named Inventor T. McConnell et al.

**COMPLETE IF KNOWN**

Application Number 10/136,550

Filing Date 05/01/2002

Art Unit Unknown

Examiner Name Unknown

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**BABY WIPES FOR MAINTAINING MOISTURE AND COLORATION OF BABY WIPES  
CONTAINED THEREIN**

(Title of the invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

05/01/2002

as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed     | Certified Copy Attached? |                          |
|-------------------------------------|---------|----------------------------------|--------------------------|--------------------------|--------------------------|
|                                     |         |                                  |                          | YES                      | NO                       |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/C2B attached hereto:

(Page 1 of 2)

**Burden Hour Statement:** This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB-01 (10-01)

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**DECLARATION — Utility or Design Patent Application**Direct all correspondence to ☐ Customer Number  OR ☒ Correspondence address belowKit M. Stetina, Esq.  
Name **STETINA BRUNDA GARRED & BRUCKER**Address **75 Enterprise, Suite 250**Allao Viejo City California State ZIP **92656**

United States Country (949) 855-1246 Telephone (949) 855-8371 Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR : ☐ A petition has been filed for this unsigned inventorGiven Name **THOMAS E.** Family Name **McCONNELL**  
(first and middle (if any)) or SurnameInventor's Signature  Date

Santa Ynez City California State United States Country United States Citizenship

Mailing Address **1568 Calzada Avenue**Santa Ynez City California State ZIP **93460** United States CountryNAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventorGiven Name **FRANCOIS** Family Name **HACQUARD**  
(first and middle (if any)) or SurnameInventor's Signature  Date **08/06/2001**

Los Angeles City California State United States Country French Citizenship

Mailing Address **756 Quail Drive**Los Angeles City California State ZIP **90065** United States Country☐ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB-02A attached hereto.

PTO/SB/02A (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

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## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet  
Page \_\_\_ of \_\_\_

|  |                     |   |                              |
|--|---------------------|---|------------------------------|
| Name of Additional Joint Inventor, if any: |                     | <input type="checkbox"/> A petition has been filed for this unsigned inventor |                              |
| Given Name<br>MICHAEL E.                   |                     | Family Name or Surname<br>HENLEY  |                              |
| Inventor's Signature<br><i>[Signature]</i> |                     | Date<br>8/6/02  |                              |
| Residence: City<br>Santa Ynez              | State<br>California | Country<br>United States  | Citizenship<br>United States |
| Mailing Address<br>3211 Long Valley Road   |                     |   |                              |
| City<br>Santa Ynez                         | State<br>California | ZIP<br>93460  | Country<br>United States     |
| Name of Additional Joint Inventor, if any: |                     | <input type="checkbox"/> A petition has been filed for this unsigned inventor |                              |
| Given Name<br>GARY L.                      |                     | Family Name or Surname<br>SMITH   |                              |
| Inventor's Signature<br><i>[Signature]</i> |                     | Date<br>8/6/02  |                              |
| Residence: City<br>Arroyo Grande           | State<br>California | Country<br>United States  | Citizenship<br>United States |
| Mailing Address<br>2855 Kip Lane           |                     |   |                              |
| City<br>Arroyo Grande                      | State<br>California | ZIP<br>93420  | Country<br>United States     |
| Name of Additional Joint Inventor, if any: |                     | <input type="checkbox"/> A petition has been filed for this unsigned inventor |                              |
| Given Name                                 |                     | Family Name or Surname  |                              |
| Inventor's Signature                       |                     | Date  |                              |
| Residence: City                            | State               | Country   | Citizenship                  |
| Mailing Address                            |                     |   |                              |
| Mailing Address                            |                     |   |                              |
| City                                       | State               | ZIP   | Country                      |

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Please type a plus sign (+) inside this box ☐

PTO/SB/01 (11-00)

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|   |                        |                            |
|---|------------------------|----------------------------|
| <b>POWER OF ATTORNEY OR<br/>         AUTHORIZATION OF AGENT,<br/>         NOT ACCOMPANYING<br/>         APPLICATION</b> | Application Number     | 10/136,550                 |
|   | Filing Date            | May 1, 2002                |
|   | First Named Inventor   | Thomas E. McConnell et al. |
|   | Group Art Unit         | Unknown                    |
|   | Examiner Name          | Unknown                    |
|   | Attorney Docket Number | PRINC-046A                 |

I hereby appoint:

☒ Practitioners at Customer Number 007663  
 Attention: Kit M. Stelina, Esq.

Place Customer Number Bar  
 Code Label here

OR

☐ Practitioner(s) named below:

| Name | Registration Number |
|------|---------------------|
|      |                     |

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number: Attention: [attorney name]  
 OR

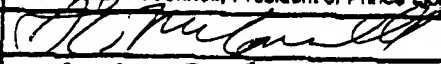
|   |                                 |       |                |     |       |
|---|---------------------------------|-------|----------------|-----|-------|
| <input checked="" type="checkbox"/> Firm or Individual Name | Kit M. Stelina, Esq.            |       |                |     |       |
| Address   | STETINA BRUNDA GARRED & BRUCKER |       |                |     |       |
| Address   | 75 Enterprise, Suite 250        |       |                |     |       |
| City  | Aliso Viejo                     | State | California     | ZIP | 92656 |
| Country   | United States                   |       |                |     |       |
| Telephone   | (949) 855-1246                  | Fax   | (949) 855-6371 |     |       |

I am the:

☐ Applicant.

☒ Assignee of record of the entire interest  
 Certificate under 37 CFR 3.73(b) is enclosed

**SIGNATURE OF Applicant or Assignee of Record**

|           |   |
|-----------|---|
| Name      | Thomas E. McConnell, President of Prince Lionheart, Inc.                            |
| Signature |  |
| Date      | AUGUST 6, 2002  |

SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231

**CERTIFICATE UNDER 37 C.F.R. § 3.73(b)**Applicants: **Thomas E. McConnell, Francois Hacquard, Michael E. Henley and Gary L. Smith**Application No.: 10/136,550 Filed: May 1, 2002For: **BABY WIPES WARMER FOR MAINTAINING MOISTURE AND COLORATION OF  
BABY WIPES CONTAINED THEREIN****Prince Lionheart, Inc.**, a **California corporation**  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
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3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: AUGUST 6, 2002Name: Thomas E. McConnellTitle: President - Prince Lionheart, Inc.Signature: 

\\Client Documents\PRINC\046a\3.73 certificate.wpd